

債券及存款証風險修訂

Bonds and Certificate of Deposit Risk Rating Revision

於2011年9月30日更新
Updated on 30 September 2011

以下列載於2011年10月1日債券及存款証風險級數修訂之詳情。如須獲取債券及存款証最新之風險級數，請參考最新修訂之詳情。

Below is a list of retails bonds and certificate of deposit with risk rating changes on 1 October 2011 for your easy reference. Please refer to the last update for the latest product risk rating.

風險級別: 1 - 資金保存型; 2 - 收入為本型; 3 - 收益增長型; 4 - 增長型; 5 - 進取增長型

Risk Tolerance 1 - Capital Preservation; 2 - Income Oriented; 3 - Growth and Income; 4 - Growth Oriented; 5 - Aggressive Growth

發行人 Issuer	債券及存款証名稱 Product Name	風險評級 Risk Level		
		截至2011年9月30日 As of 30 September 2011	於2011年10月1日生效 Effective from 1 October 2011	評級變動 Level changed
Asian Development Bank	Asian Development Bank 2.85% RMB 21/10/2020	2	3	1
AGILE PROPERTY HLDGS LTD	AGILE PROPERTY HLDGS LTD 10 11/14/2016	4	5	1
AGILE PROPERTY HLDGS LTD	AGILE PROPERTY HLDGS LTD 8.875% 4/28/2017	4	5	1
Bank of China Limited	Bank of China Limited 2.65% RMB 30/9/2012 Tranche A	2	3	1
BANK OF BARODA/LONDON	BANK OF BARODA/LONDON 4.75 % 10/ 7/2015	4	3	-1
BANK OF INDIA LONDON	BANK OF INDIA LONDON 4.75 % 9/30/2015	4	3	-1
CK Bond Finance Ltd	CK Bond Finance Ltd 4.4% 20/04/2015	2	3	1
CLP Power HK	CLP Power HK Financing 2.335% 16/01/2012	2	3	1
CLP Power HK	CLP Power HK Financing Ltd 4.35% 30/1/2015	2	3	1
CLP Power HK	CLP Power HK Financing 6.25% 8/5/2012	2	3	1
CLP Power HK	CLP Power HK Financing 4.75% HKD 18/01/2016	2	3	1
CNOOC	CNOOC Finance 2003 Ltd 4.125% 21/5/2013	2	3	1
Country Garden Holding Co	Country Garden Holding Co 11.75% 2014	4	5	1
Country Garden Holding Co	Country Garden Holding Co 11.25% 2017	4	5	1
Country Garden Holding Co	Country Garden Holding Co 11.125% 23/02/2018	4	5	1
EVERGRANDE REAL ESTATE	EVERGRANDE REAL ESTATE G 13.00% 1/27/2015	4	5	1
FED Republic of Brazil	FED Republic of Brazil 7.875% 7/3/2015	4	3	-1
Glorious Property Holdings Ltd	Glorious Property Holdings Ltd 13 % 10/25/2015	4	5	1
HK Electric	HK Electric Finance 4.13% 24/11/2014	2	3	1
HOPSON DEVELOPMENT HLDGS	HOPSON DEVELOPMENT HLDGS 8.125% 9/11/2012	4	5	1
HSBC Bank Plc London	HSBC Bank Plc London GBP 08/04/2018	4	5	1
ICBC (ASIA) LIMITED	ICBC (ASIA) LIMITED 5.125% 30 Nov 2020	5	4	-1
KAISA GROUP HOLDINGS LTD	KAISA GROUP HOLDINGS LTD 13.5% 4/28/2015	4	5	1
KWG PROPERTY HOLDINGS LTD	KWG PROPERTY HOLDINGS LTD 12.50% USD 8/18/2017	4	5	1
MCDONALD'S CORP	MCDONALD'S CORP 3.00% CNY 09/16/2013	2	3	1
Merrill Lynch SA	Merrill Lynch SA EUR 05/10/2011 (XS0455242999)	3	4	1
Merrill Lynch SA	Merrill Lynch SA EUR 07/2/2013 (XS0344931620)	3	4	1
Merrill Lynch SA	Merrill Lynch SA GBP 12/10/2011 (XS0456855518)	3	4	1
Merrill Lynch SA	Merrill Lynch SA GBP 19/10/2011 (XS0457082765)	3	4	1
MTR Corporation Ltd	MTR Corporation Ltd 5.2% 18/7/2018	2	3	1
Renhe Commercial Hldgs Co	Renhe Commercial Hldgs Co 13% 10/03/2016	4	5	1
Renhe Commercial Hldgs Co	Renhe Commercial Hldgs Co 11.75% 18/05/2015	4	5	1
RKI FINANCE	RKI FINANCE (2010) 9.50% USD 21/09/2015	4	5	1
Road King	Road King 2011 6% RMB 25/02/2014	3	5	2
Shimao Property Holdings Ltd	Shimao Property Holdings Ltd 11% 08 Mar 2018	4	5	1
SHIMAO PPTY HLDG LTD	SHIMAO PPTY HLDG LTD 8% 1-DEC-2016	4	5	1
Sun Hung Kai Properties Capital Markets Ltd	Sun Hung Kai Properties Capital Markets Ltd 3.37% HKD 20/08/2014	2	3	1
Sun Hung Kai Properties Capital Markets Ltd	Sun Hung Kai Properties Capital Markets Ltd 3.75% HKD 30/04/2013	2	3	1
Sun Hung Kai Properties Capital Markets Ltd	Sun Hung Kai Properties Capital Markets Ltd 4.65% HKD 19/1/2016	2	3	1
Sun Hung Kai Properties Capital Markets Ltd	Sun Hung Kai Properties Capital Markets Ltd 4.90% HKD 02/11/2015	2	3	1
Sun Hung Kai Properties Capital Markets Ltd	Sun Hung Kai Properties Capital Markets Ltd 5.375% 8/3/2017	2	3	1
UBS AG London	UBS AG London EUR 1/3/2013 (XS0349036110)	3	4	1

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UBS AG London	UBS AG London EUR 2/4/2013 (XS0352973241)	3	4	1
Yuzhou Property Co	Yuzhou Property Co 13.5% 15/12/2015	4	5	1

此項資料更新並不構成任何投資產品或服務的建議、要約或招攬生意行為。投資涉及風險，閣下在作出任何投資的決定前，應根據閣下之個人風險評估和財務狀況作考慮及(如需要)尋求專業財務意見。
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