

RMB Securities Services Fee Table
Effective from 2011/04/11

Item	Charge
Trading-related Services	
a. Brokerage Commission	
i. Non-electronic Channels	
- Private Banking Services Customers / Elite Club Banking Services Customers	Min. RMB100 and in accordance with the following tiers of transaction amount per trade: - Below RMB1,000,000: 0.2% RMB1,000,000 or above: 0.1%
- e-Age Banking Services Customers / Other Customers	- Below RMB300,000: 0.25% RMB300,000 - below RMD1,000,000: 0.2% RMB1,000,000 or above: 0.1%
ii. Electronic Channels	
- Private Banking Services Customers / Elite Club Banking Services Customers	- Below RMB1,000,000: 0.15% RMB1,000,000 or above: 0.1%
- e-Age Banking Services Customers	- Below RMB1,000,000: 0.18% RMB1,000,000 or above: 0.1%
- Other Customers	- Below RMB1,000,000: 0.20% RMB1,000,000 or above: 0.1%
b. Transaction Levy*	0.003% of transaction amount (SFC's charge payable in HK Dollars by both buyer and seller)
c. Trading Fee*	0.005% of transaction amount (HKEx's charge payable in HK Dollars by both buyer and seller)
d. Stamp Duty*	0.1% of transaction amount in rounded up to the nearest dollar (the HKSAR Government's charge payable in HK Dollars by both buyer and seller)
e. Settlement Fee*	0.002% of transaction amount payable in HK Dollars (Min. HKD2 and Max. HKD100)
* RMB denominated Stocks transaction will be settled in RMB, for any charge that involves exchange of RMB against HKD, it will be calculated based on the exchange rate published by HKEX on the same trading day.	
Financing and Other Services	
c. Trading Fee	(waived for Private Banking Services Customers / Elite Club Banking Services Customers)
Application for Newly Listed Shares (Application in the Name of ICBC (Asia) Nominee Ltd)	
- Non-electronic channels	- RMB100 per application
- Electronic channels	- RMB50 per application

Overseas Securities Services Fee Table
Effective from 2011/09/1

Overseas Securities Trading Services Fee	
a. Brokerage Commission	
- All Customers	0.75% of transaction amount, Min. HKD800 per trade (Other Settlement fees, charges, levies or tax will be applied according to certain securities markets)